

1                                   **Long-term changes in sea-level**  
2                                   **components in Latin America and the**  
3                                   **Caribbean**  
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37 **Abstract**

38 Mean Sea-Level is not the unique factor that should be considered in rising sea levels  
39 since storm surges and changes in extreme events may also have a bearing in the coastal  
40 problems. In this study, we use astronomical tide, mean sea-level and storm surges to  
41 explain changes detected in the various components conforming the sea-level in the  
42 region of Latin America and the Caribbean. Methods based on a non-stationary extreme  
43 value analysis were applied to storm surge and total sea elevations monthly maxima for  
44 the last six decades, while long-term trends in Mean Sea-level were computed with a  
45 trend-EOF technique. Besides, the relative importance of each factor contributing to the  
46 total sea-level is explored through its statistical distribution. Results show that a clear  
47 correspondence can be found through a simple regression model between Mean Sea-  
48 Level and the Niño3 climate index, which in turn explains more than 65% of the  
49 variance. The analysis further demonstrates that concerns should be focused on different  
50 components of sea-level in the various areas included in our study. For example, the  
51 changes in the storm surge levels are a key stressor in the Río de la Plata area, while the  
52 increase in the extreme total sea-levels in the tropical region and the influence of inter-  
53 annual variability on its western coast are the prominent factors.

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57 **Keywords**

58 Inter-annual variability; Latin America and the Caribbean; Sea-level components; Sea-  
59 level rise; Storm surge; Non-stationary extremes

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63 **Highlights**

64       ▪ Description of the various sea-level components through the reconstruction of  
65       Astronomical Tide, Storm Surge and Mean Sea-Level time series

66       ▪ The relative weight of each sea-level component is analyzed for the region of  
67       Latin America and the Caribbean

68       ▪ Significant variations in long-term trends in mean sea-level and extremes in storm  
69       surge and total sea-level were found.

70       ▪ Strong correlations with some climatic indices were found for mean sea-level and  
71       storm surge

72       ▪ The Niño3 index shows a strong linear relationship with mean sea-level in the  
73       tropical Pacific coast

74

## 75 **1. Introduction**

76 Coastal zones are among the most vulnerable areas to climate change, facing  
77 various impacts arising from this cause. Among these, rising sea-levels sometimes  
78 combined with subsidence, have been shown to lead to flooding, coastline erosion,  
79 impacts on ecosystems or salination of aquifers (Ericson et al., 2006; Syvitski et al.,  
80 2009; Nicholls and Cazenave, 2010). Such problems demand measures for adaptation,  
81 and integrated coastal management (Nicholls, 2011). However, the first step is to  
82 thoroughly understand the past changes in the specific areas of study, at an adequate  
83 spatial scale, where the impacts are to be inferred. Additionally, understanding which  
84 are the major factors contributing to total sea-level is required to lead efforts towards  
85 further analysis, prevention and adaptation solutions to flooding and erosion. To that  
86 end, this work offers a comprehensive understanding of the different sea-level  
87 components in Latin America and the Caribbean region (LAC).

88 Time series of Total Sea-Level (TSL) result from the combination of several  
89 components which vary both temporally and spatially: (1) mean sea-level (MSL); (2)  
90 Astronomical tide (AT), which oscillates on the MSL in a scale of hours and (3) surges  
91 produced by wind and pressure, commonly known as Storm Surge (SS). Breaking  
92 waves also cause sea-level changes in the surf-zone, called swell set-up, which depend  
93 on local coastal characteristics. This factor is however not analyzed here, our main  
94 focus centered on sea-level variations at deeper waters; so local surf zone features, are  
95 not taken into account.

96 In general, Sea-Level Rise (SLR) is the name given to changes detected over  
97 various years in the MSL. Moreover, land subsidence relative to the sea causes  
98 additional displacement to be added to the SLR, the combination of which is known as  
99 Relative Sea-Level Rise (RSLR). Aggregation of the different components forms hourly

100 TSL time series whose analysis may determine the flooding statistic on different time  
101 scales. This makes it necessary to define all the components influencing sea-level rise,  
102 as well as their relative overall weight in order to make a correct statistical analysis of  
103 possible impacts. For example, Nicholls (2004) showed that the effects of a given MSL  
104 rise in estuaries will have different effects in macrotidal and microtidal environments.

105       Rising sea-levels have been extensively studied in the recent years (e.g., IPCC,  
106 2007; Cazenave et al., 2008; Church et al., 2010; Rignot et al., 2011; or de Santis et al.,  
107 2012). However, as suggested in Walsh et al. (2012), changes in sea-level extremes are  
108 the upshot of different combinations of MSL, local trends, the incidence of storms  
109 (including tropical storms and cyclones) and the marine climate. The combination of  
110 these factors and their relative importance define coastal flooding threats worldwide.  
111 Sea-level extremes occur not only under high SS values combined with AT. Sometimes  
112 high rises due to AT and moderate SS values can pose a flooding risk on particular  
113 coasts. Even where SS is the predominant factor, there may be non-linear combinations  
114 of SS and AT which must be taken into account (Horsburgh and Wilson, 2007). This is  
115 why flooding studies must take into account the accumulated effect of the different  
116 components of sea-level. The combination of rising sea-levels and storm surges has  
117 already been dealt with at a global scale in several studies (Dasgupta et al., 2009), and  
118 specifically for particular areas of the LAC region (Fiore et al., 2009).

119       As far as we know, in our study region there is no information on changes in  
120 MSL, AT and SS, or TSL with a sufficient degree of resolution and a discussion on the  
121 relative importance of each factor is lacking. Therefore, this paper aims to: (1) explain  
122 the changes observed in the various sea-level components in LAC, (2) examine changes  
123 in TSL extremes and (3) discuss the relative importance of each of the contributing  
124 factors. In order to achieve these goals, we used instrumental MSL data to infer past

125 changes, employing two different long-term trend assessment statistical techniques. The  
126 relative importance of SLR with respect to the MSL seasonality is also studied. The SS  
127 was modeled numerically (without including hurricanes, i.e.: excluding tropical storm  
128 extremes tails) to study the changes in this component. Finally, considerations on the  
129 influence of climatic patterns on each variable on a continental scale are also addressed.

130 To structure the work, the section following this introduction describes the  
131 sources of information of the data and explains the statistical methods used for the  
132 extremes analysis and the determination of the long-term trends. Section 3 deals  
133 specifically with the study of sea-level components, their changes and their relative  
134 importance in different parts of the continent. A brief discussion follows in section 4 on  
135 the climatic patterns with the highest influence on each component. Finally, section 5  
136 highlights the most important conclusions.

137

## 138 **2. Regional Setting**

139 The area of study is the region of the Atlantic and Pacific Ocean basins that wash  
140 the coasts of Latin America and the Caribbean (LAC). With a total coastline length of  
141 about 72,182 km this region is highly variable in terms of coastal dynamics and  
142 geomorphological features. From the varying conditions in the Atlantic and Pacific, the  
143 Caribbean Sea is a third area with particular characteristics. Covering from high  
144 latitudes in the Southern Ocean to equatorial areas, generally, little knowledge is  
145 available on the different sea-level components and its temporal past changes for the  
146 whole region.

147

## 148 **3. Data and Methods**

### 149 **3.1. Data**

150 The area of study includes the coast of Latin America and the Caribbean (LAC), a  
 151 total of approximately 72,182 km of coast (see Figure 1). Several sources of  
 152 instrumental and numerical data were used to evaluate the sea-level components in the  
 153 LAC region. Table 1 summarizes the variables considered and the original source, as  
 154 well as their time span and spatial resolution.

Data source	Variables	Time span	Time resolution	Spatial Resolution
CSIRO	Mean Sea-level(MSL)	1950-2009	monthly	Global, 1°
	Mean Sea-level anomaly			
TPXO dataset	Astronomical Tide (AT)	harmonic constants	hourly	Global, 0.25°
Tidal Gauges (UHSLC)	Mean Sea-level(MSL)	Variable	hourly	Global, variable
	Storm Surge (SS)			
Numerical Reanalysis (GOS)	Storm Surge (SS)	1948-2008	hourly	LAC, 0.25°

155 Table 1. Sources of information of the variables considered, their time span and spatial  
 156 resolution.

157 MSL data were obtained from the Commonwealth Scientific and Industrial  
 158 Research Organization (CSIRO) and can be downloaded at:  
 159 [http://www.cmar.csiro.au/sealevel/sl\\_data\\_cmar.html](http://www.cmar.csiro.au/sealevel/sl_data_cmar.html). These data provide monthly MSL  
 160 series on a 1° x 1° (longitude x latitude) grid of spatial resolution between 65°S and  
 161 65°N, from 1950 to present, although for this study we used 2008 as the last year of  
 162 analysis. Between 1950 and 2001 the sea-level information was reconstructed from tidal  
 163 gauges (Church et al., 2004), and the 1993-2008 data come from TOPEX/Poseidon,  
 164 Jason-1 and Jason-2/OSTM mission altimeters. Data were deseasonalized and include  
 165 an inverse barometer correction, following the correction for glacial isostatic  
 166 adjustment.

167 Tidal gauge data were obtained from Hawaii University's Sea-level Center  
 168 (UHSLC) and used to compare the results of the AT and the SS time series. These data

169 are available at <http://ilikai.soest.hawaii.edu/uhslc/rqds.html>. Locations are presented in  
170 Figure 1 along with the identification codes of the tidal gauges shown in this paper for  
171 validation. The data series provide hourly time resolution, and register longitude  
172 variations for each station.

173 AT data were generated on the LAC coasts using the harmonic constants derived  
174 from the TPXO global tides model (version 7) developed by Oregon State University  
175 (Egbert et al., 1994; Egbert and Erofeeva, 2002). The TPXO model assimilates data  
176 from the TOPEX/Poseidon missions and tidal gauges (Ardalan and Hashemi-Farahani,  
177 2007). The database includes eight primary harmonic constants (M2, S2, N2, K2, K1,  
178 O1, P1, Q1) and two long period ones (Mf and Mm), provided in a global grid of 1440 x  
179 721 points, at 0.25° spatial resolution (<http://volkov.oce.orst.edu/tides/global.html>).  
180 These components were used to reconstruct the hourly AT series since 1948. Results  
181 were validated using the area tidal gauges (see Figure 2) with root mean square errors of  
182 less than 0.2 cm (at macro-tidal regimes, lower in micro-tidal sites), so that  
183 reconstruction of the AT can be considered adequate for offshore depths. The  
184 distribution of the 90<sup>th</sup> percentile of AT is shown in Figure 3 (right panel) and the  
185 region's great spatial variation is clear, with microtidal regimes in tropical latitudes, and  
186 macrotidal ones in the south.

187 Storm surge was obtained numerically using the Regional Ocean Modeling  
188 System (ROMS) (Shchepetkin and MacWilliams, 2003) at 0.25°x0.25° resolution, from  
189 125°W to 20°W longitude and 61°S to 40°N latitude. We used the inverse barometer  
190 condition and obtained atmospheric forcing (pressure and wind data) from  
191 NCEP/NCAR reanalysis (Kalnay et al., 1996), resulting in hourly time series of storm  
192 surge in the period from 1948 to 2008. These results were validated with instrumental  
193 tidal gauge data. Figure 2 shows the results of the validation for several tidal gauges in



194 the zone. A good fit for both the statistical distribution and surge time series (not  
195 shown) has been obtained. The panels show the scatter, quantile-quantile plot, and  
196 statistical diagnostic indices of observed versus modeled data. Colors indicate the  
197 sample density. The solid line corresponds to  $y = x$  (Modeled equal to Observed data).  
198 White diamonds show quantiles higher than 90<sup>th</sup> percentile. We also show the data  
199 number in the dataset (NObs), the BIAS ( $\text{mean}(y) - \text{mean}(x)$ ), RMSE (the root mean  
200 square error) and the Pearson's correlation coefficient (CORR). It should be noted that  
201 this dataset does not include hurricanes, which define the SS extremes tail, because of  
202 the insufficient wind and pressure resolution of the NCEP/NCAR reanalysis. An  
203 accurate definition of hurricanes requires a specific analysis which is not included in  
204 this work without loss of generality for the results in the region covered.

205 In Figure 3 (left) the storm surge 99<sup>th</sup> percentile along the coast under study is  
206 presented. A large spatial variation in SS can be seen throughout the region. The highest  
207 values (over 1 m) are clearly found in the Río de la Plata area, a shallow platform where  
208 water accumulates during storm events. In general, the highest values are found in the  
209 southern part of the continent, particularly along the Atlantic coast. On the Pacific coast,  
210 north of the 35° S parallel, the storm surge of the 99% percentile is about 10 cm almost  
211 throughout the whole region, except in areas like the Gulf of California and the  
212 Colombian coast, where higher values are found. In this regard, it must be emphasized  
213 that local amplifications due to coastal zone local geometry and bathymetry demand  
214 greater spatial resolution, which is beyond the scope of this study.

215 By aggregating the components of AT and SS to the MSL series, it is possible to  
216 reconstruct the TSL series, as shown in Figure 4 for two specific points in the region. A  
217 first point is on the Chilean coast, where a semidiurnal tidal pattern is dominant, while  
218 the second one is found on the Caribbean coast, where tides are mixed and the tidal

219 range is smaller. The weight of each component at each location differs clearly. This  
220 aspect is analyzed in section 3.3, along with the comparison with the SLR trends.

221

## 222 **3.2. Statistical methods**

### 223 **3.2.1. Long-term trends**

224 The long-term variability of geophysical variables is generally described by  
225 calculating regional trends or using global averages. Trends have been detected using  
226 both linear and non-linear methods for several variables, even with discontinuous  
227 datasets (e.g., Jevrejeva et al., 2006; Barbosa, 2008; Barbosa and Andersen, 2009;  
228 Gazeaux et al., 2011; Church et al., 2010). However, such methods only consider the  
229 temporal structure missing the spatial influence on them when estimating trends,  
230 generally. Moreover, trends detection is sensitive to the record length and the variance  
231 of the signal, among some other factors (see Weatherhead et al., 1998; Weatherhead et  
232 al., 2002; Whiteman et al., 2011). Thus the influence of phenomena such as ENSO must  
233 be taken into account *a priori* as they may affect the calculation of the trend (Lawrence  
234 et al. 2004; Becker et al. 2012).

235 Attempts to overcome these difficulties use techniques based on Empirical  
236 Orthogonal Functions (EOF; Fukuoka, 1951), by decomposing a continuous space-time  
237 field into an optimal set of basis functions of space and expansion functions of time.  
238 However conventional EOF analysis is in general, and among other difficulties, unable  
239 to find trends (Hannachi, 2007) since a substantial part of the signal variance is  
240 distributed into the different spatial-temporal modes. Hanachi (2007) found a  
241 modification of traditional EOF analysis to overcome this limitation (henceforth Trend-  
242 EOF).

243           The method is based on an eigen-analysis of the covariance matrix, similar to  
244 conventional EOFs, but taking the time positions of the sorted observations (named as  
245 inverted ranks) instead of the direct observations. The different sequences of inverse  
246 ranks provide a robust measure of monotonicity. Therefore, maximization of  
247 monotonicity can be obtained from maximization of the variance of a linear  
248 combination of the inverse ranks, ultimately leading to the identification of robust  
249 spatial-temporal trend patterns. This technique has already been used successfully for  
250 other geophysical variables (see Barbosa and Andersen, 2009).

251           In this work, the Trend-EOF technique was used to determine past changes in  
252 regional MSL and to compare the results with those obtained by linear regression of the  
253 time series. The hypothesis testing related to linear regression results were evaluated by  
254 a *t*-test.

255

### 256 **3.2.2. Extreme value analysis**

257           Statistical modeling of extreme values requires the use of the extreme value  
258 theory, which provides a different approach than that of the mean value statistics. In the  
259 context of extreme values, it is necessary to model the mean, the variance and the shape  
260 of the distribution. The statistical model proposed for this study is based on a  
261 generalized extreme value (GEV) distribution. The GEV model works with a sample of  
262 maximum values from blocks of equal temporal length. We defined the block span as a  
263 month, which provides a better description of extreme sea-level events within a year,  
264 allowing us to analyze the seasonal scale of interest. These maxima blocks are often  
265 assumed to be independent and identically distributed random variables, but natural  
266 climate variability induces changes in the monthly maxima. This fact contradicts the  
267 hypothesis of homogeneity through consecutive months. Therefore a non-stationary

268 approach was used. We considered a time-dependent extreme model characterized by  
 269 time-dependent location  $\mu(t)$  and  $\psi(t)$  scale parameters of the GEV distribution. The  
 270 GEV cumulative distribution function of a certain random variable,  $Z_t$ , is given by:

$$271 \quad F_t(z) = \begin{cases} \exp \left\{ - \left[ 1 + \xi \left( \frac{z - \mu(t)}{\psi(t)} \right) \right]_{+}^{-1/\xi} \right\} & \xi \neq 0 \\ \exp \left\{ - \exp \left[ - \left( \frac{z - \mu(t)}{\psi(t)} \right) \right] \right\} & \xi = 0 \end{cases} \quad (1)$$

272 where  $[a]_{+} = \max[a, 0]$  and  $\xi$  is the shape parameter which informs us about the tail of  
 273 the distribution. The GEV distribution includes three distribution families  
 274 corresponding to the three different types of tail behavior: (1) the Gumbel family  
 275 ( $\xi = 0$ ) characterized by a light tail decaying exponentially; (2) the Fréchet distribution,  
 276 where  $\xi > 0$  and a heavy tail decays polynomially; and (3) the Weibull family, where  
 277  $\xi < 0$ , characterized by a bounded tail. This version of the GEV distribution has been  
 278 recently used for different geophysical variables (Katz, 2002; Méndez et al., 2007;  
 279 Menéndez et al., 2009; Rust et al., 2009; Izaguirre et al., 2010; Menéndez and  
 280 Woodworth, 2010).

281 Mean sea-level seasonal oscillations have been widely studied (e.g., Tsimplis and  
 282 Woodworth, 1994) and at a continental scale they are presumed to differ greatly among  
 283 locations. In this approach, seasonal variability was explicitly modeled by allowing  
 284 annual and semiannual cycles in location and scale parameters. Menéndez and  
 285 Woodworth (2010) studied extreme sea-level events from a global dataset of tidal  
 286 gauges using this approach with successful results. They found the simplest model with  
 287 a minimum number of time-dependent parameters through a stepwise procedure

288 evaluating the final prediction error criterion (Menéndez et al., 2009; Mínguez et al.,  
 289 2010a). These methods provide an automatic way of parameter selection which  
 290 minimizes the Akaike Information Criterion (AIC). Incorporation of additional  
 291 parameters at every iteration is based on sensitivity analysis and score test statistical  
 292 information. The methods have proved to be efficient and robust, obtaining the best  
 293 possible parameterization automatically.

294 Therefore, only significant sinusoidal functions (at 95% confidence levels) are  
 295 included in the optimal model for each location (see Menéndez et al., 2009 and Mínguez  
 296 et al., 2010a for further details). The model used for the study of monthly TSL maxima  
 297 follows:

$$\begin{aligned}
 \mu(t) &= \beta_0 + \sum_1^2 (\beta_{2i-1} \cos(i\omega t) + \beta_{2i} \sin(i\omega t)) + \beta_{LT} \cdot t \\
 \psi(t) &= \alpha_0 + \sum_1^2 (\alpha_{2i-1} \cos(i\omega t) + \alpha_{2i} \sin(i\omega t)) + \alpha_{LT} \cdot t \quad (2) \\
 \xi(t) &= \xi_0 + \sum_1^2 (\xi_{2i-1} \cos(i\omega t) + \xi_{2i} \sin(i\omega t))
 \end{aligned}$$

299 where  $\beta_0$  and  $\alpha_0$  are mean values,  $\beta_i$  and  $\alpha_i$  are the amplitudes of the harmonics,  
 300  $\omega = 2\pi \text{ year}^{-1}$  and  $t$  is given in years. Thus stated, the model is able to simulate the  
 301 increase or decrease, not only in the magnitude of the extreme events, but also in their  
 302 variance. The significance of each linear trend was computed using the likelihood ratio  
 303 test.

304 To determine whether the SS showed different trend behavior within seasons, the  
 305 model was modified by including the annual cycle in the term accounting for the long-  
 306 term trend in the location parameter. The resultant model is expressed as:

307

$$\mu(t) = \beta_0 + \sum_1^2 (\beta_{2i-1} \cos(i\omega t) + \beta_{2i} \sin(i\omega t)) + [\beta_{LT} + \beta_{LT1} \cos(\omega t) + \beta_{LT2} \sin(\omega t)] \cdot t$$

$$308 \quad \psi(t) = \alpha_0 + \sum_1^2 (\alpha_{2i-1} \cos(i\omega t) + \alpha_{2i} \sin(i\omega t)) + \alpha_{LT} \cdot t \quad (3)$$

$$\xi(t) = \xi_0 + \sum_1^2 (\xi_{2i-1} \cos(i\omega t) + \xi_{2i} \sin(i\omega t))$$

309 where  $\beta_{LT1}$  and  $\beta_{LT2}$  represent the amplitudes of the harmonics for the seasonal trends.

310

311 Extreme analysis of TSL (equation 2) and SS (equation 3) time series was  
 312 performed applying the above methods, respectively. To report extreme value intensity  
 313 we chose a quantile of probability distribution, linked to a 50 year return level, which  
 314 corresponds to a given no-exceedance probability of  $1-q$ , with  $q=1/50$ . It was  
 315 obtained for each season by iteratively solving:

$$316 \quad 1-q = \exp \left\{ -k_m \int_{t_a}^{t_b} \left[ 1 + \xi(t) \left( \frac{\bar{z}_q[t_1, t_2] - \mu(t)}{\psi(t)} \right) \right]_+^{-1/\xi(t)} dt \right\} \quad (4)$$

317 where  $[t_a, t_b]$  is the interval equal to one season and  $1/k_m$  is the length of the block  
 318 maxima, that is, one season (3 months) so that  $1/k_m = 1/4$  year. Details regarding the  
 319 derivation of equation (3) can be found in Frías et al. (2011).

320

## 321 4. STUDY OF SEA-LEVEL COMPONENTS

### 322 4.1. Changes in Mean Sea-Level

323 Two trend techniques have been used to study sea-level globally: the Trend-EOF  
 324 and a trend based on linear regression on local time series. In both cases we used two  
 325 regression models: a first ( $z = at + b$ ) and a second ( $z = at^2 + bt + c$ ) grade models.

326 Assessment of the minimum quadratic error, proved the regression by both methods to  
327 be quadratic. This result suggests a mild acceleration of trends in the period 1950-2008.  
328 Figure 5 shows the time series for average global sea level and the first component of  
329 the Trend-EOF (i.e. that including the trend's global pattern), with a value of 2.7 mm/yr  
330 in 2010 and a  $0.01 \text{ mm/yr}^2$  curvature. The statistical significance of our results was  
331 higher than 95%, and they are in accordance with previous trends calculated for mean  
332 global sea level (e.g., Church et al., 2004; Church et al., 2010; Cazenave and Remy,  
333 2011; Becker et al., 2012; Meyssignac and Cazenave, 2012).

334 Because of the importance of interannual sea-level variability, the Trend-EOF  
335 technique is probably more adequate for spatial analysis of trends, since it is not  
336 influenced by local effects and hence, it maintains spatial homogeneity. Figure 6 shows  
337 the results of global patterns in the average trend calculated by Trend-EOF and local  
338 regression in the study region.

339 The trend is unmistakably for the mean sea-level to rise at all points in the region,  
340 as shown in the various time series panels in Figure 7. The highest values for these  
341 trends are found on the Atlantic coast, approximately 2 mm/yr on the northern coast of  
342 South America and the Caribbean coast, with lower values on the Caribbean islands. In  
343 the equatorial Pacific area the increase is lower (1-1.5 mm/yr). In addition, the influence  
344 of the ENSO phenomenon (periods of el Niño and la Niña) is seen in the time series of  
345 anomalies, particularly along the Pacific coast, as will be analyzed in section 4.

346 At this point it is worth comparing the effect of climatic variability on MSL over a  
347 multiple-year time scale, and the current long-term trend maintained in recent decades.  
348 Figure 7 shows the different influence of el-Niño and la-Niña events on the Pacific coast  
349 of Central America, from Mexico to the coast of Peru. In 1998 (the Niño3 index  
350 historical high), the el-Niño index's greatest influence occurred in the equatorial zone of

351 the Pacific (not shown spatially but identified in Figure 7 at point 598). If the sea-level  
352 time series are detrended, it becomes possible to determine the scale of this event on  
353 MSL. A similar event would give values of around 20 cm at the point of maximum  
354 effect (considering only the effect on MSL and not the accumulated effects on other  
355 variables such as wave climate (see Reguero et al., 2012b) or SS. This highlights the  
356 importance of studying all TSL components to discern the relative weight of changes to  
357 each component.

358

#### 359 **4.2. Changes in extreme storm surge levels**

360 Extreme storm surge events decisively influence coastal flooding, making it  
361 essential to analyze them and their trends. An analysis of extremes of Storm Surges was  
362 performed, based on GEV distribution and applied to the monthly maxima (see equation  
363 3) over the period from 1948 to 2008, making it possible to determine significant  
364 seasonal trends.. Seasons were organized into three-month blocks: December, January,  
365 February (DJF); March, April, May (MAM); June, July, August (JJA); and September,  
366 October, November (SON). Hurricanes were not included in the data base because of  
367 the lack of resolution in the pressure and wind fields in NCEP/NCAR reanalysis. Thus  
368 analysis in Central America and the Caribbean must be treated with caution because the  
369 magnitude of extreme values is not well represented, as the SS distribution tail is  
370 defined by these hurricane events.

371 Figure 8 shows SS over a 50 year return period. The highest values are found at  
372 the Río de la Plata with a surge height of more than 3 m, diminishing northward and  
373 southward. This area has one of the largest AT and the greatest average SS level, so  
374 MSL changes are insignificant in proportion to SS and tidal range.



375 Figure 9 depicts the annual trends for the SS extremes (central panel), where only  
376 results over the 95% statistical significance level are shown. Seasonal behavior is also  
377 incorporated at certain representative points in the Figure. The results indicate that the  
378 zone with the greatest positive trend was that of Río de la Plata, with values of up to 5  
379 mm/yr between 1948 and 2008. This was also the area with the greatest surge extremes,  
380 throughout all seasons. Trends decrease to 2 mm/yr immediately southwards from the  
381 river inlet and reaching the southern Brazilian coast northwards. These results are in  
382 accordance with those in Fiore et al. (2009) who found seasonal increase in frequency  
383 of SS events and long-term trends of 2 mm/yr from Mar de Plata tidal gauge.  
384 Remarkable negative trend was found in the Gulf of California where it is reduced at  
385 approximately 3 mm/year, with a marked seasonality, dropping particularly during the  
386 occurrence of Northern Hemisphere winters (DJF). A moderate (1.5 mm/yr) increase in  
387 surge extremes was noted on the southern Brazilian coast along with a similar reduction  
388 in the northern one. Seasonality was also marked in this zone. On the other coasts in the  
389 study area, trends were less than 1 mm/yr, either increasing or decreasing, although  
390 always significant. No significant differences in extreme values were detected in the  
391 area affected by hurricanes and tropical storms, although this result cannot be  
392 conclusive because the magnitude of the hurricane peaks is not well-represented in our  
393 analysis.

394

### 395 **4.3. Relative influence of sea-level components**

396 By aggregating the sea-level components, Figure 10 shows the relative  
397 importance of each TSL contributors at various points. The panels on the left show the  
398 probability density functions (pdf) of AT, SS and TSL, while those on the right show  
399 the mean MSL seasonal range and the pdf of the MSL value in 2030 by extrapolating

400 the calculated trends. These were designed to identify the proportion of the long-term  
401 change with respect to the seasonality, assuming that the acceleration observed until  
402 now remains constant. The average regression estimator in the target year (2030) was  
403 obtained, to extrapolate the SLR value.

404 As shown in this figure, the AT dominates in general the TSL pdf except when the  
405 SS variation range is such that it surmounts astronomical influences, which only occurs  
406 in the Río de la Plata (point 384 in the Figure). At the remaining locations, the SS  
407 variation range is below that of the AT, irrespective of tidal range (Figure 10).

408 MSL seasonality also shifts spatially (between 12 and 4 cm on average), in  
409 proportion to the long-term trends. In areas where this seasonality is small (the tropical  
410 Atlantic coast and the south Pacific) SLR takes on greater importance. However, in  
411 macrotidal regions, because of the wide AT variation, the proportion of change due to  
412 SLR is marginal, i.e.: around 2.5% of change in the TSL range at point 1123 in southern  
413 Chile.

#### 414 **4.4. Changes in extreme total mean sea-levels**

415 Results reveal that the coefficients in the location and scale parameters accounting  
416 for a long-term variation are significant for most of the points under study (maps in  
417 Figure 11). The variation of the location parameter implies a shift in the average of the  
418 TSL pdf while the scale parameter change is related to a modification of its variance.  
419 The subsequent effect of the TSL pdfs varying in time (from 1950 to 2008) can be  
420 clearly detected in Figure 11. Spatially, the pattern of trends reveals a large variability.

421 In general, trends in sea-level extremes (Figure 11) rise by up to 7 mm/yr in the  
422 Río de la Plata area, in line with previously estimated MSL trends and SS extremes.  
423 Elsewhere in the region, trends ease to 2 mm/yr, with the MSL contributing

424 predominantly over higher SS. A slight reduction is noted in the Gulf of California,  
425 induced by negative trends in SS extremes.

426 Figure 11 shows pdf variations in 5 year periods since 1950 at various  
427 representative locations. At sites with a long-term trend in the parameter of scale (e.g.  
428 point 1005) the pdf widens, making extreme values the most likely. At other points,  
429 particularly on the Atlantic coast, the trend in the parameter of scale was negligible (see  
430 the upper panels in Figure 12), so that the pdf shift is driven solely by the trend in the  
431 shape parameter. Extreme values were also more frequent in these cases.

432 There were, nevertheless, points where no significant trends were found, neither  
433 in the scale nor in the location parameters, such as for example on the coast of Ecuador  
434 (i.e. Id. 620, Figure 12). To provide a further insight into it, Figure 12 shows the  
435 temporal series of monthly maxima and the results of the adjusted parameters for two  
436 example points. In some cases (the top panels in Figure 12) no significant long-term  
437 trends in extreme pdf parameters were found. Yet at other points (lower panels in the  
438 figure) this trend was significant for one or several parameters.

439 Long-term shifts in extreme pdfs suggest that extreme values have become more  
440 frequent in recent decades. This was quantified by obtaining extreme TSL values with a  
441 return period of 100 years in two specific periods, during the first 10 years of available  
442 data (1950-1960) and during the last 10 years of analysis (1998-2008). The difference is  
443 shown in Figure 13. The panel on the left reveals the increase associated with the 100-  
444 year return period level, at its greatest in northern Argentina, Uruguay and southern  
445 Brazil, where the trend is dominated by SS extremes. At the remaining points, the MSL  
446 trend predominates and the change is not so obvious. However, if these differences are  
447 expressed in proportion to the value for the average return period between 1950 and  
448 1960, the Caribbean islands saw this extreme value increase by more than 60% during

449 that decade, compared with the 1998-2008 one (with the aforementioned caution that  
450 hurricanes are not properly modeled in the peak magnitude of SS events).

451 These results imply that coastal flooding risk in low-lying areas may be increasing  
452 due to a combination of rising MSL and variations in SS extreme events. By itself,  
453 rising MSL may not cause flooding but, a rising water level has caused a decrease in the  
454 return periods of the extreme total water levels during the last five decades (Figure 13).  
455 The proportion of these changes with respect to current dynamics (Figure 10) will play  
456 an important role in impact evaluation and adaptation strategies.

457

## 458 **5. Discussion of climate variability influence**

459 As already seen in the MSL series, interannual variability arising from the ENSO  
460 phenomenon is in some cases clearly marked. Indeed, the ENSO phenomenon is known  
461 to have an effect on sea-level in the Pacific Ocean (e.g., Walsh et al., 2012; Liu et al.,  
462 2009). Should changes in this climatic pattern occur (Collins et al., 2010) they will in  
463 turn influence sea-levels (Church et al., 2006; Lowe et al., 2010).

464 To analyze the influence of various climate patterns in sea-level components in  
465 the region of study a number of climatic indices were considered: the Arctic oscillation  
466 (AO), the Southern Annular oscillation (SAM), the ENSO measured through the Niño3  
467 index and the Southern Oscillation Index (SOI), the Pacific North American Index  
468 pattern (PNA), the Western Pacific Index (WP), the Eastern Pacific Oscillation  
469 (EP/NP), the Caribbean Sea Surface Temperature Index (CAR), Northeast Brazil  
470 rainfall (NBR), the North Tropical Atlantic Surface Temperature Index (NTA), the  
471 Tropical North Atlantic Index (TNA), and the Tropical Southern Atlantic Index (TSA).  
472 A comprehensive list with definitions, descriptions and references can be found at  
473 <http://www.esrl.noaa.gov/psd/data/climateindices/list/>. The standardized series of the

474 different climatic indices was correlated with the MSL and the 95<sup>th</sup> percentile of SS.  
475 Figure 14 shows the Pearson correlation coefficients (varying between -1 and +1) at the  
476 points where correlation was significant at least at the 95% confidence level. A cross  
477 correlation analysis was also performed (not shown) to identify patterns whose  
478 influence may be deferred in time between the value of the climatic index and the  
479 maximum effect on sea-level on the coasts in the region of study.

480 Figure 14 shows the correlation coefficient of the Niño3 climatic index with the  
481 normalized variables: MSL and the 95<sup>th</sup> percentile of SS. Niño3 showed the greatest  
482 correlation on sea-level components, as can be seen in the temporal series in Figure 7,  
483 with a virtually simultaneous correlation with them (with no time lag). The Niño3 index  
484 has a high correlation (correlation > 0.5), with standardized anomaly of MSL on the  
485 Pacific coast, between 15°S and 30°S on the Atlantic, and on the Caribbean islands. On  
486 the rest of region, the correlation was still positive but with lower values. Thus, Niño3  
487 led to a generalized rise of sea-level throughout the study area, particularly on the  
488 continent's Pacific coast. Other indices such as TNA, CAR and AMO (not shown) also  
489 influenced sea-level anomalies significantly, although their correlations were lower.

490 Storm surge showed a correlation with Niño3 of 0.2, for the entire Pacific coast.  
491 Positive values of this index are found to be related to a general rise in SS along the  
492 continent's Pacific façade, including the Caribbean islands and reaching the Gulf of  
493 Mexico where the correlation turns negative. On the Pacific coast, positive correlations  
494 are found west of the California peninsula and southwards while the correlation  
495 becomes negative in the Gulf of California. Slightly negative values appear on parts of  
496 the tropical Atlantic coasts and Argentina. Concerning other indices (not shown), the  
497 influence of the NTA index is found to be positive on the Caribbean islands, and TSA is

498 associated with negative rises between 15°N and 15°S on the Atlantic coast and in the  
499 Gulf of California.

500 In view of the correlation pattern, an insight on the relationship between the  
501 Niño3 and the MSL must be carried out. To determine the contribution of the Niño3  
502 climate index to MSL, a simple regression model was built for the various points  
503 analyzed in the region. The results (shown in Figure 15 for a representative point in the  
504 Peruvian coast) show a clear relationship (panel b in the Figure 15) with the mean  
505 estimate of:  $MSL \text{ (mm)} = 30.47 \times Ni\acute{n}o3^*$ , where  $Ni\acute{n}o3^*$  represents the standardized  
506 climate index time series on a monthly scale. This simple model explains over 65% of  
507 the variance in MSL data (panel a). The mean estimate corresponds to over 30 mm per  
508 unit of standardized index in a great part of the region (panel c), from 15°S to 15°N. The  
509 effect of Niño3 in the rest of the region is residual which may be indicating that a  
510 combination of climate patterns is occurring there.

511

## 512 **6. Conclusions**

513 This work provides a description of the various components of sea-level by  
514 constructing and analyzing time series of Astronomical Tide, Storm Surges and Mean  
515 Sea-Levels using different databases (both instrumental and numerical), for the region  
516 of Latin America and the Caribbean (LAC). In particular the Storm Surge reanalysis  
517 performed at a 0.25° spatial resolution in the study area must be highlighted. However,  
518 it has to be noted that this contribution does not include an adequate description of  
519 hurricane events in the Caribbean due to insufficient resolution in the forcing fields  
520 (taken from NCEP/NCAR reanalysis). With this in mind, within the period from 1950  
521 to 2008 Storm Surge heights were largest in the area of Rio de la Plata, while the

522 greatest Astronomical Tides occur in southern latitudes of the continent and in the Gulf  
523 of California.

524 The results here obtained are believed to provide useful insights in the  
525 determination of the probability of flooding in the coastal areas of Latin America and  
526 the Caribbean and they may also constitute an adequate approach for other areas of the  
527 world. As seen in this work, the variables contributing to total sea-level at each  
528 particular coast reveal different importance. Pinpointing the dominant variables, their  
529 changes and their aggregated effect on coastal flooding is thus crucial in order to lead  
530 efforts to its prevention and management.

531 With respect to the relative weight of each component on sea-level, certain  
532 features need to be highlighted. Past changes in sea-level present a higher weight in the  
533 Caribbean Islands in relation with the low range of tidal variability. This occurs together  
534 with a tail of distribution dominated by extreme events and associated with tropical  
535 storms and hurricanes. Despite the low rates of change detected and considering that  
536 modeling must be taken with caution, extremes seems to have increased considerably in  
537 intensity during the last 5 decades, showing a special sensitivity of this area to low rises  
538 in water levels. This, together with the particular social, environmental and economic  
539 characteristics of the coastal zones in the islands should be a matter of concern and  
540 needs further research. The trends in water levels found here are negligible in  
541 comparison with the tidal ranges and the storm surge heights registered at extra-tropical  
542 areas. In these areas, flooding may be induced by changes in the storm surge regime, as  
543 a result of variations in the storm activity of the southern hemisphere, and coinciding  
544 with high tides. A remarkable exception is the Río de la Plata area where storm surge  
545 surpasses the tidal range, future changes in this component being one of the major  
546 hazards for coastal zones in the area.

547 Owing to inter-annual changes in the region, like the ENSO phenomenon, which  
548 significantly affect certain areas of the LAC coasts, long-term trends in Mean Sea-Level  
549 data were computed using two different techniques: local regression and the Trend-EOF  
550 technique. Results were similar for most of the study area and in accordance with  
551 previous works at a global scale. However, the Trend-EOF approach provides a more  
552 uniform estimate for the whole region while revealing a lower trend in the tropical  
553 Pacific coast, which was also the case with local regression.

554 Storm surge monthly maxima were analyzed with a non-stationary extreme value  
555 model based on a GEV distribution accounting for long-term trends in location and  
556 scale parameters. Increase of Storm Surge of around 5 mm/yr were found in the Rio de  
557 la Plata margin, precisely where Storm Surge events are of greatest concern.

558 Building hourly time series of Total Sea-Level in the period from 1950 to 2008 by  
559 aggregation of the three components, the resulting data were analyzed with the non-  
560 stationary extreme analysis approach to identify long-term trends, which varied on  
561 average from 2 to 7 mm/yr. The quantile associated with a 50-yr return period was then  
562 computed to find that it has been changing during the last decades due to modifications  
563 in probability density functions, which were variable spatially. Larger relative changes  
564 may be occurring in the southern Caribbean, notwithstanding the fact that the hurricane  
565 tail of the distribution is not properly modeled in this analysis and that the largest  
566 changes in magnitude are found in the Rio de la Plata area. Indeed, up to 7 mm/yr were  
567 detected in storm surge past events in Rio de la Plata because of a clear translation in  
568 the mean value of the extreme probability density function. Meanwhile, at the  
569 Caribbean the probability density function of extremes of total sea-level are widening  
570 and translating, leading to higher probability for extremes.



571 El-Niño events are widely known to influence sea-levels in the Pacific Ocean. The  
572 highest historical event (1989) was in the same order of magnitude as the long-term  
573 change in Mean Sea-Level detected over the last 6 decades in the study region. This sets  
574 off the effects that variations in the ENSO phenomenon could entail as suggested by  
575 recent studies. In fact, a clear correspondence was found through a simple regression  
576 model between Mean Sea-Level and the Niño3 climate index, which in turn explained  
577 more than 65% of the variance in the signal and seemed to have an effect of over 30 mm  
578 per unit of standardized index in the tropical Pacific coast of LAC. The high tail of the  
579 Storm Surge distribution seems also to be related to this and other climatic patterns but  
580 its assessment falls out of the scope of this work and was hence not evaluated.

581

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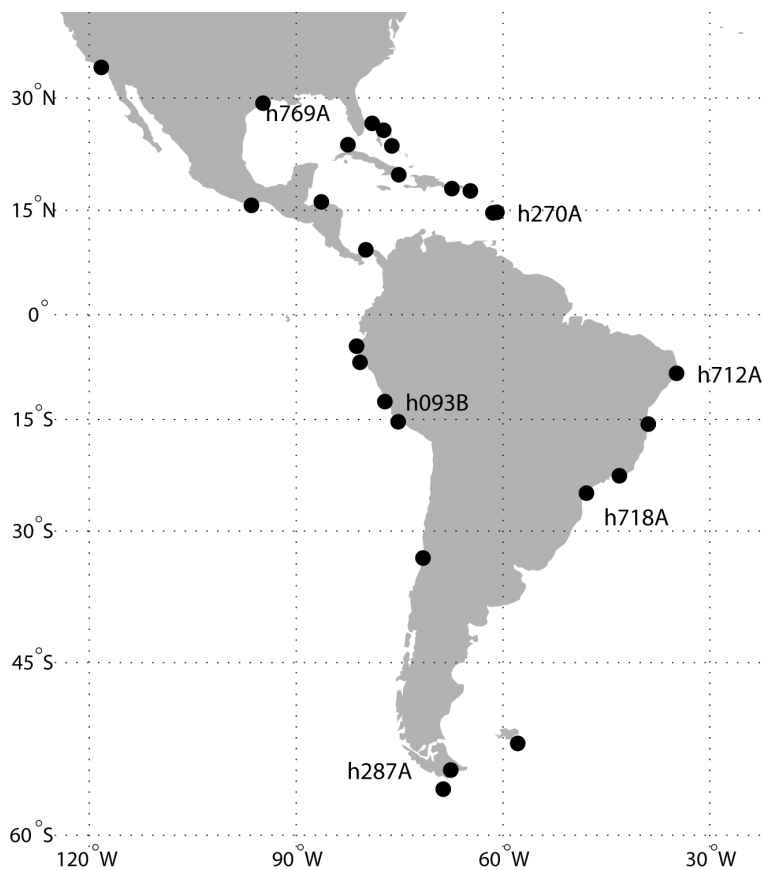
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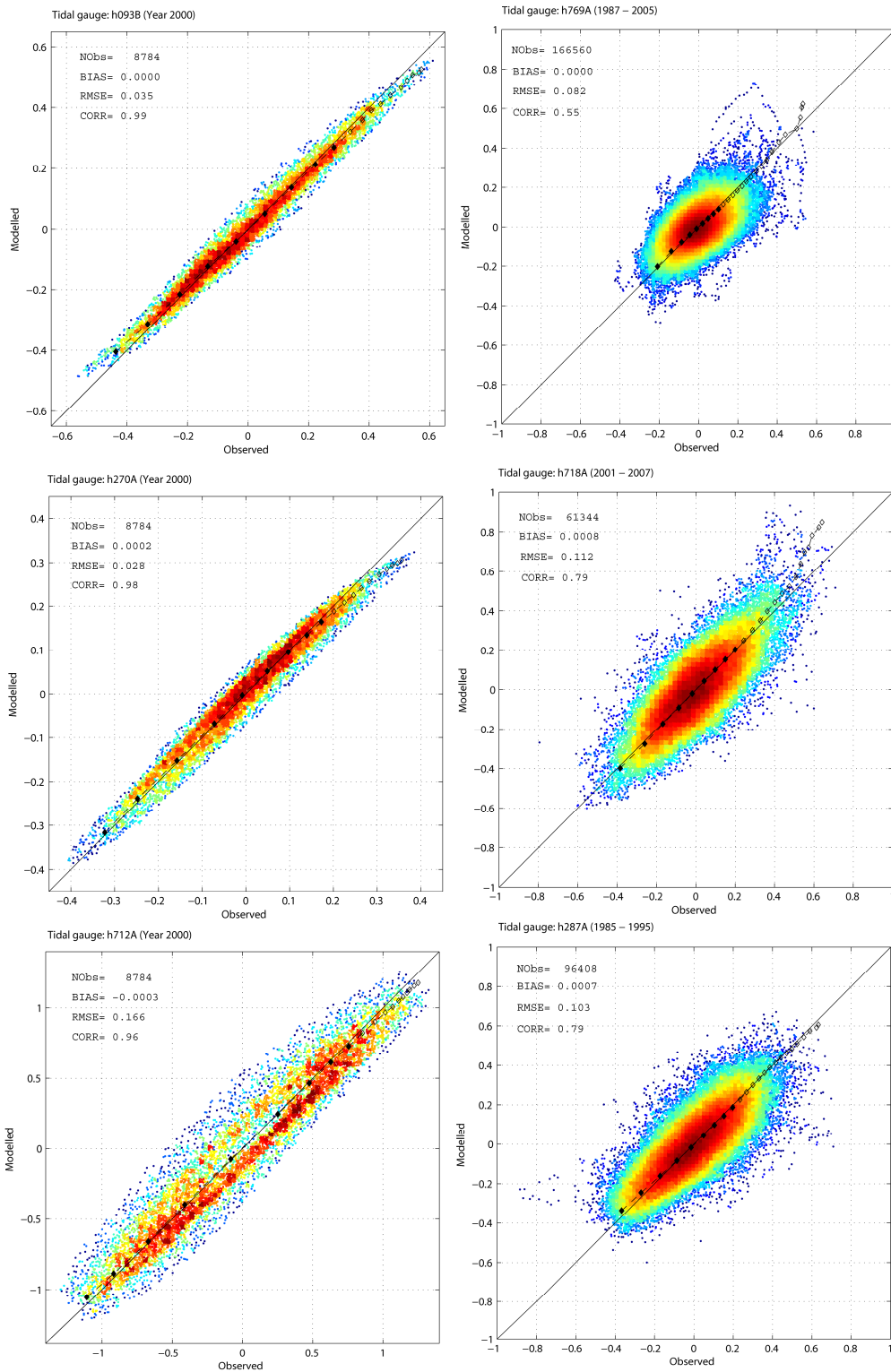
817 **FIGURE CAPTIONS**

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820 Figure 1. The region of study showing the tidal gauges selected for validation purposes.



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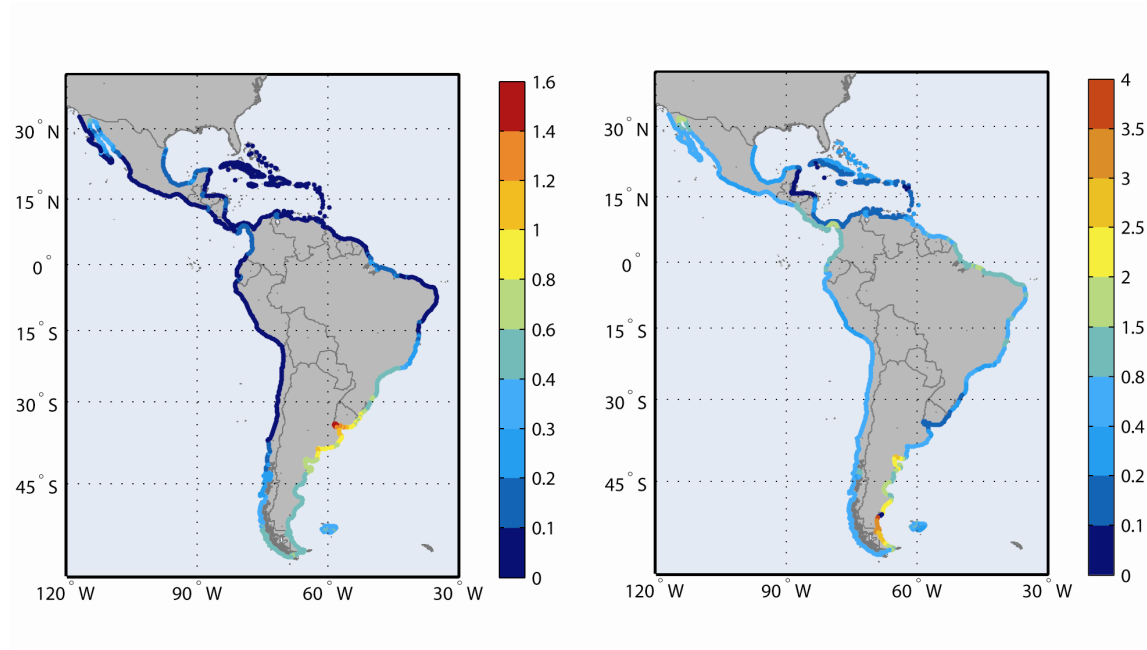
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Figure 2. Validation of the reconstruction of the Astronomical Tide (left) and the modeled Storm Surge (right) with various regional tidal gauges in the study area.

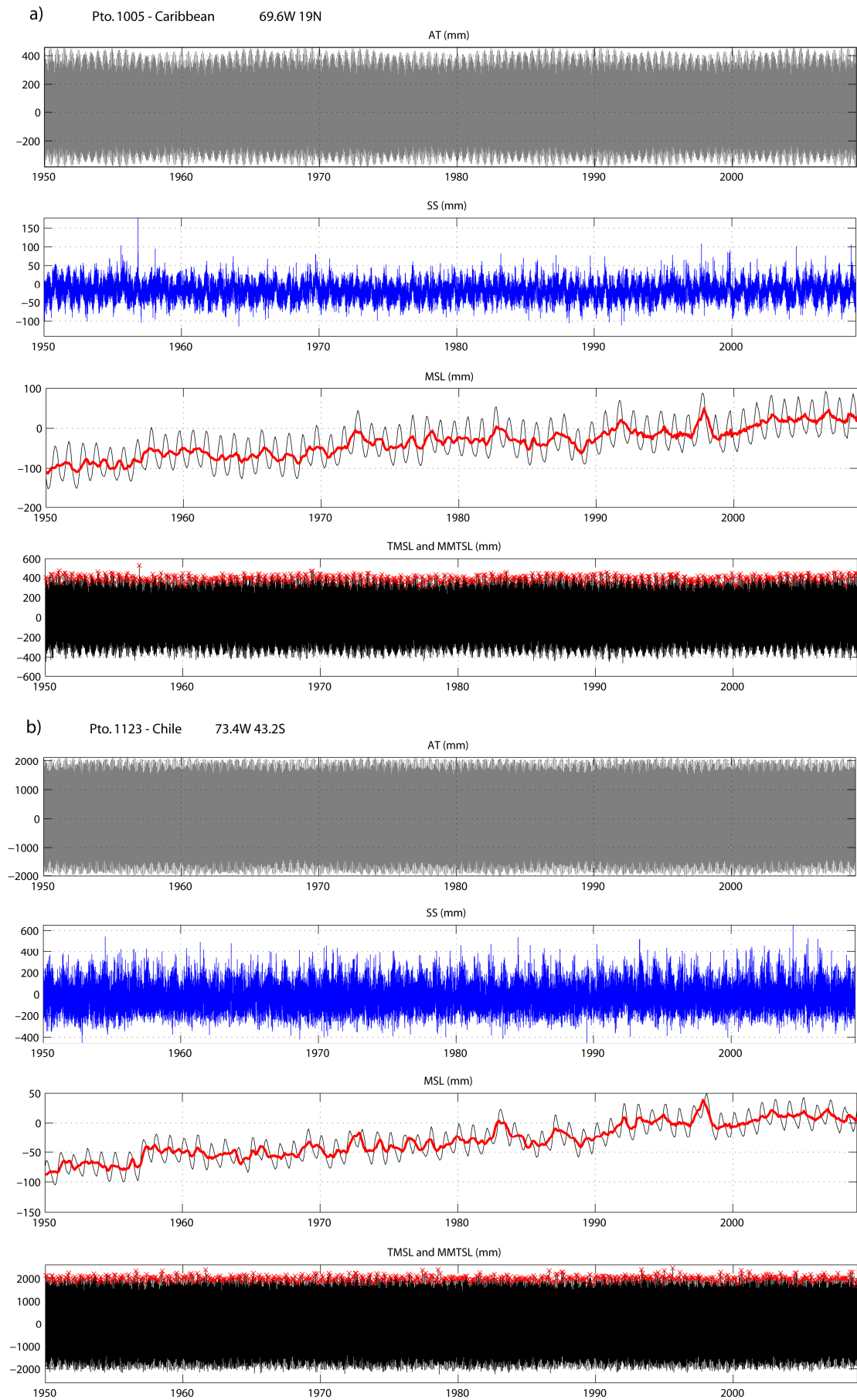
Scatter data and quantile distribution are shown. Values expressed in meters.



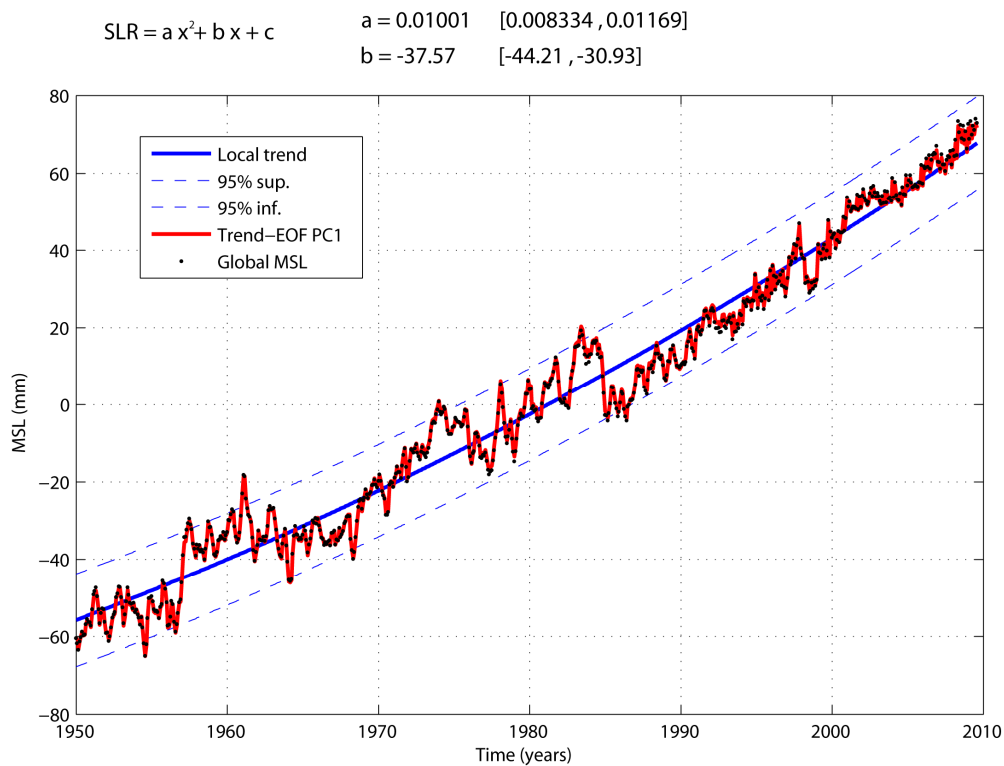
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826 Figure 3. Annual 99% percentile of Storm Surge (left panel) and 90% percentile of  
827 Astronomical Tide (right panel), computed for the period 1948 – 2008 and expressed in  
828 meters.

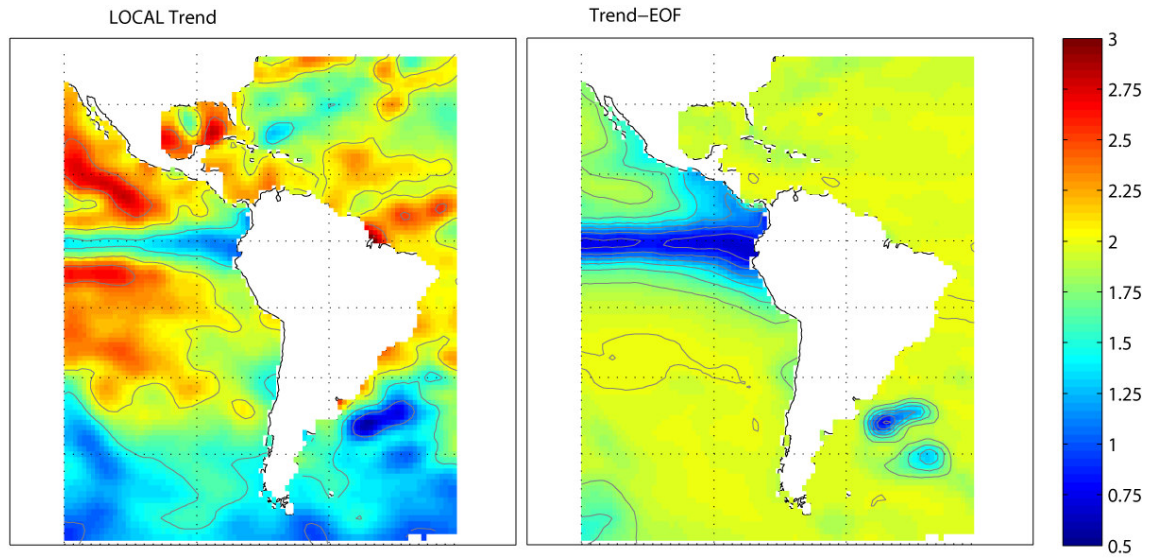
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831 Figure 4. Reconstruction of Total Sea-Level time series (TSL) using the different  
 832 components at two points in the study region: Caribbean coast (a) and Chilean coast (b).  
 833 The series are shown for Astronomical Tide (AT), Storm Surge, Mean Sea-Level time  
 834 series (MSL) and Total Sea-Level (TSL). The red line in MSL represents the annual  
 835 mean values, while the red-cross line in TSL indicates the Monthly Maxima of Total  
 836 Mean Sea-Level (MMTSL).  
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838  
 839 Figure 5. Global mean sea-level trends. Trend derived from the First Trend-EOF  
 840 component (red) along with the temporal instants of global mean sea-level (black  
 841 points), trend adjusted with 95% confidence intervals (blue).



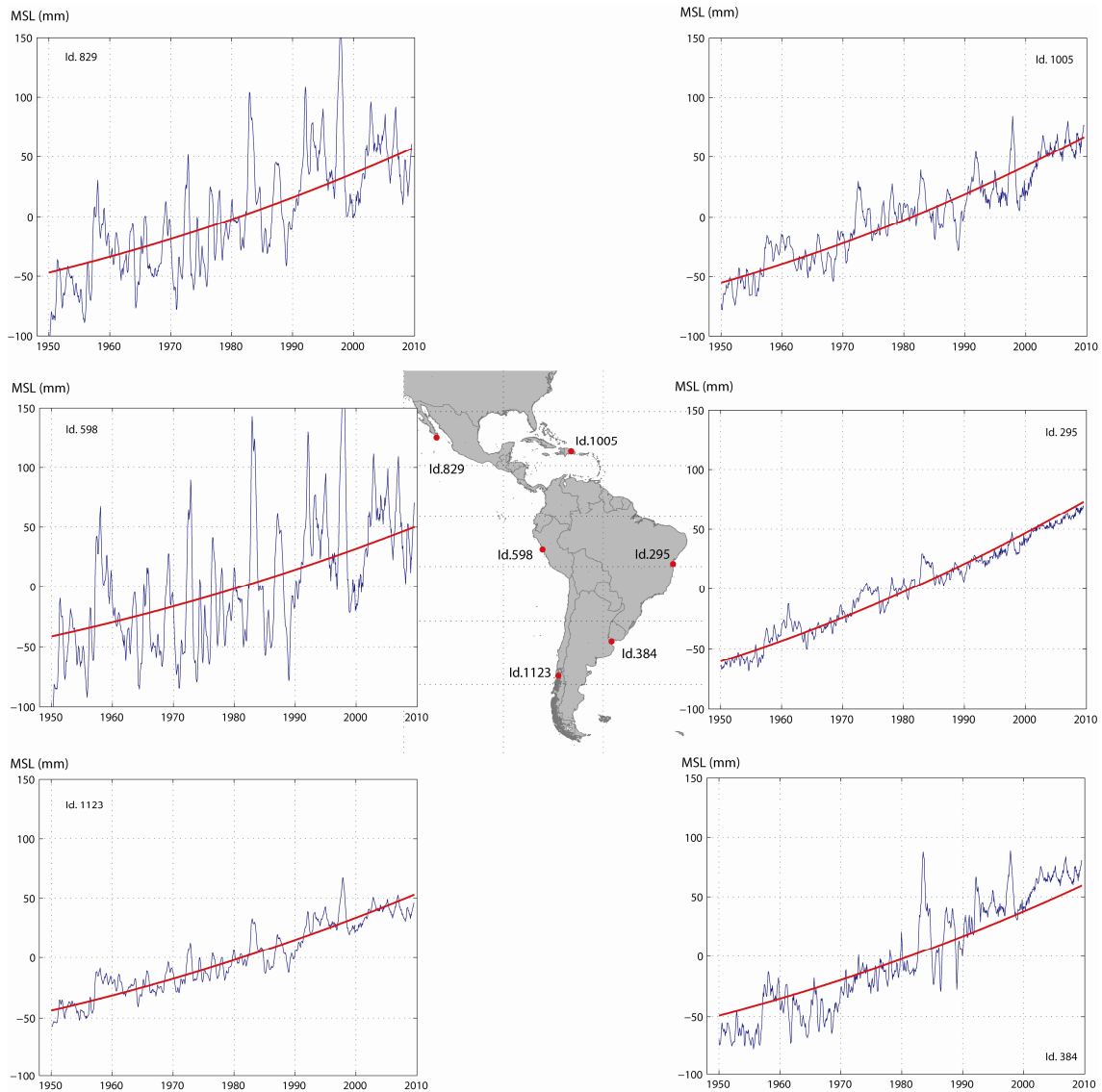
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843 Figure 6. Comparison of the linear trend of rising sea-level obtained by local regression

844 (left) with the one obtained using the Trend-EOF technique (right) for the region of

845 Latin America and the Caribbean (mm/yr). Data analyzed in the period 1950-2008.





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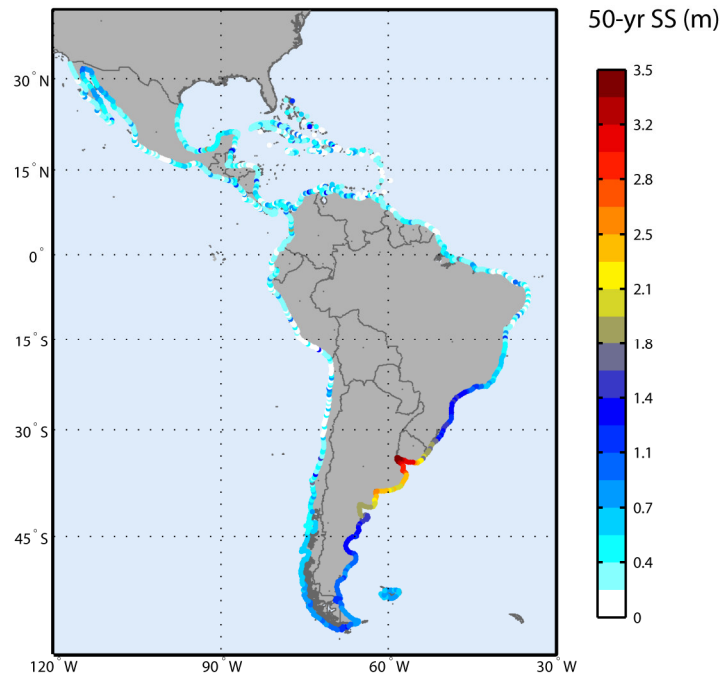
Figure 7. Temporal series for rising sea-levels and the trends obtained (using Trend-

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EOF) for various representative points in study area.

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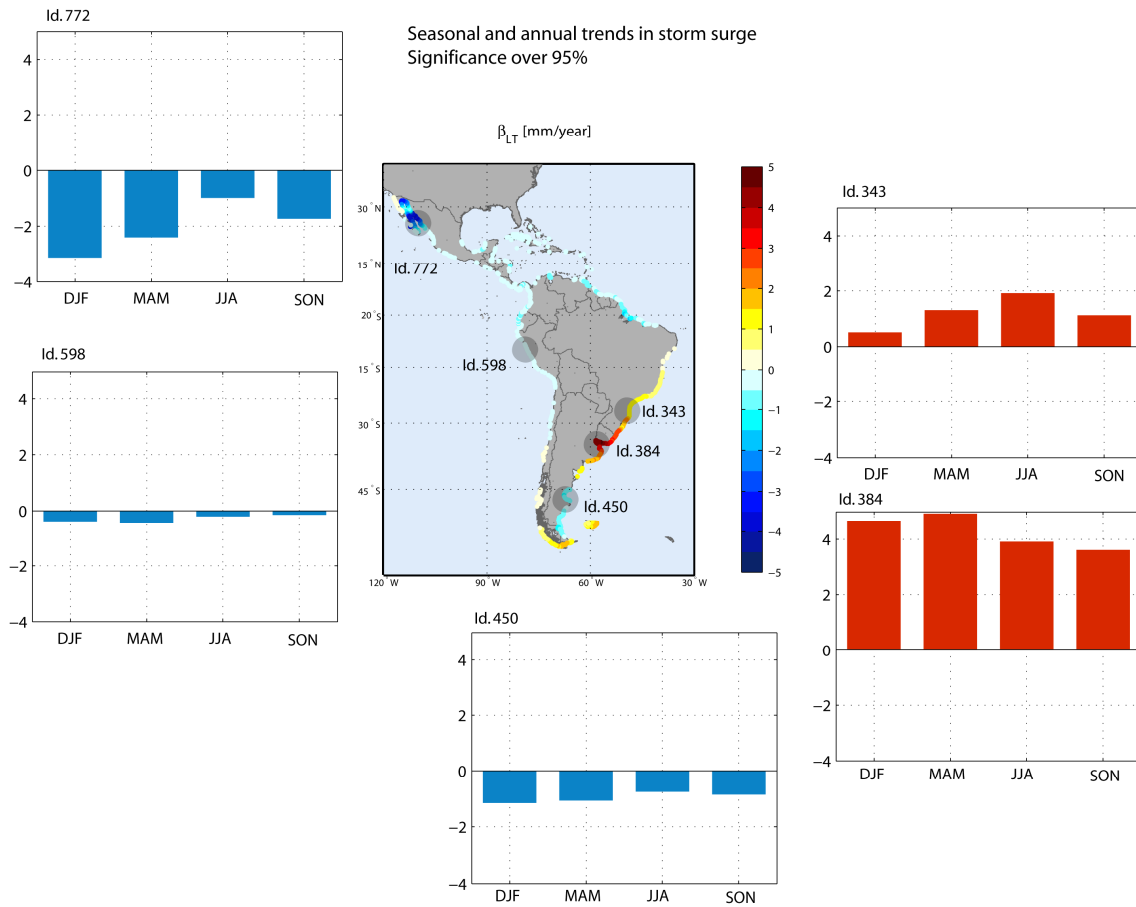
852 Figure 8. 50-year return period Storm Surge height, obtained by hindcast from 1948 to

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2008.

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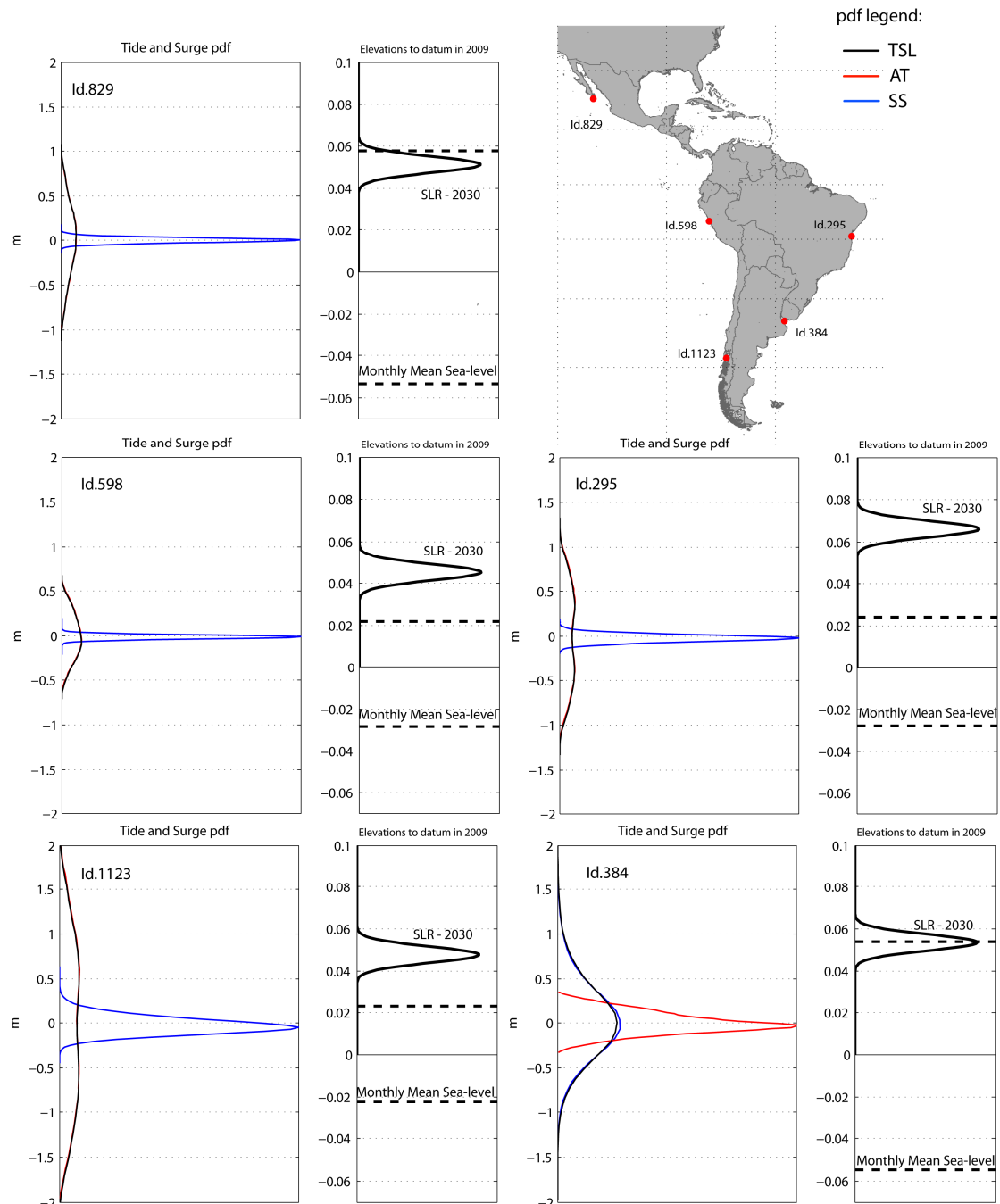
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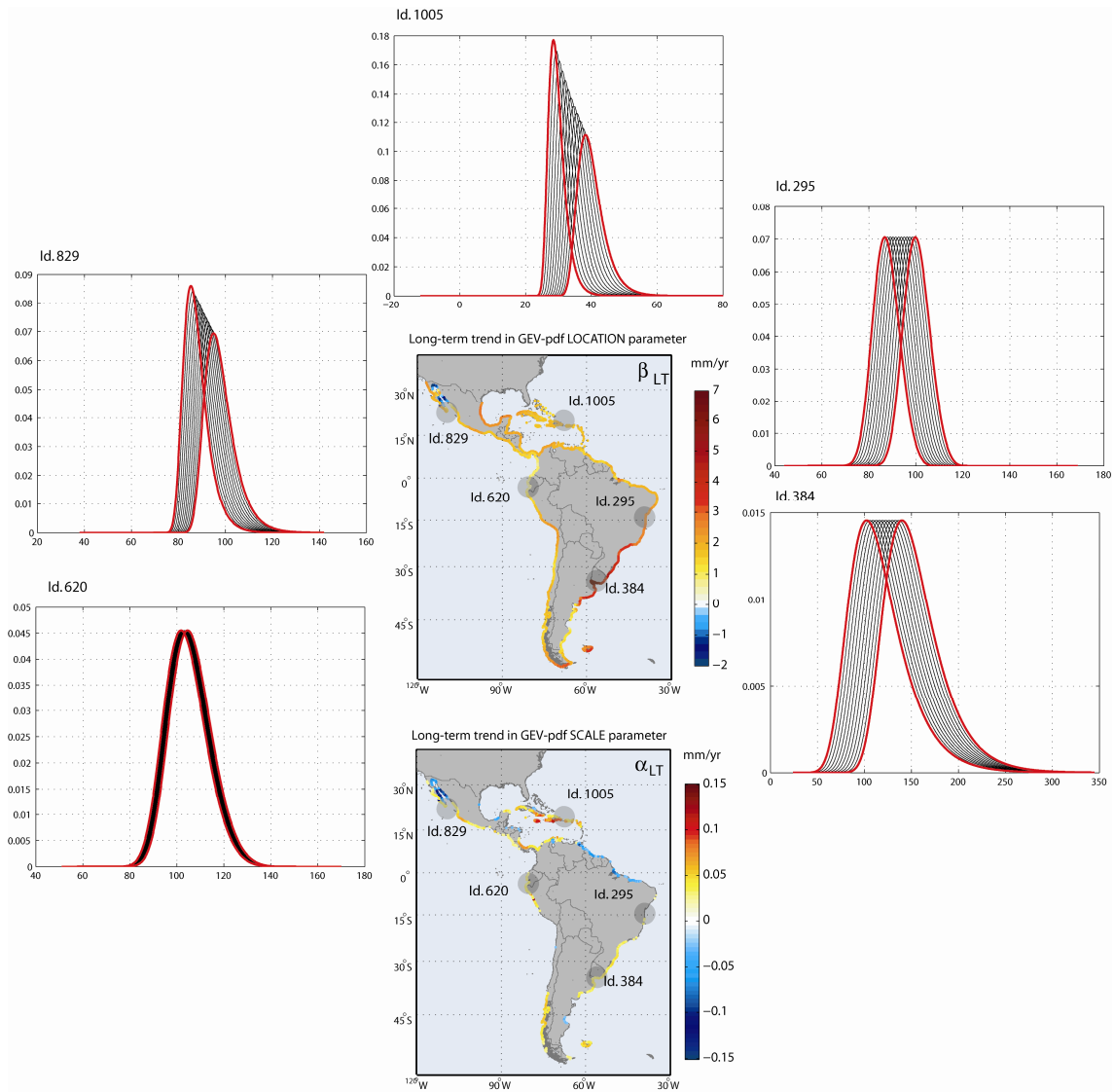
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Figure 9. Long-term trends in Storm Surge extremes excluding hurricanes and corresponding seasonal trends at some representative points (mm/yr). The map in the centre shows the annual trend while the adjacent panels represent the seasonal trends at the representative points marked in the map.



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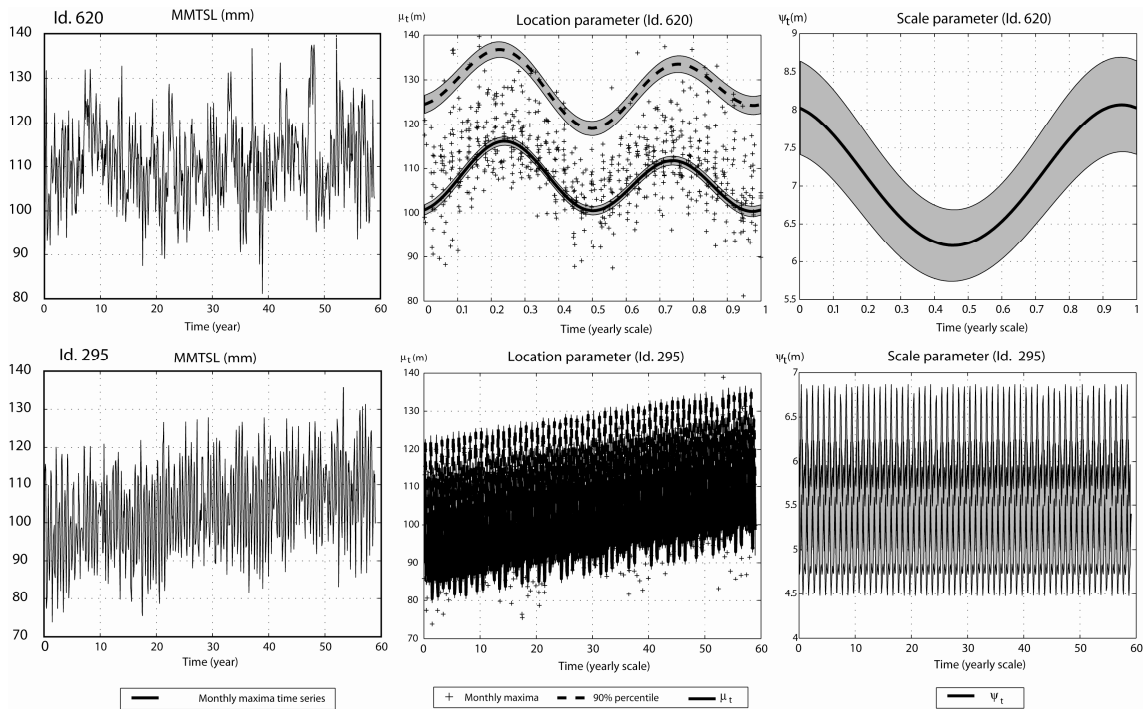
867 Figure 10. Panels illustrating the relative weight of each sea-level component at various  
 868 representative points. Panels on the left: probability density functions (pdf) of the  
 869 Astronomical Tide (red), the Storm Surge (blue) and Total Sea-Level (black). Right  
 870 panels: Mean Sea-Level seasonality range (broken black line) and probability density  
 871 function of rising sea-level in 2030 from extrapolation of trends (solid black line). TSL:  
 872 Total Sea-Level; AT: Astronomical Tide; SS: Storm Surge; SLR: Sea-Level Rise.



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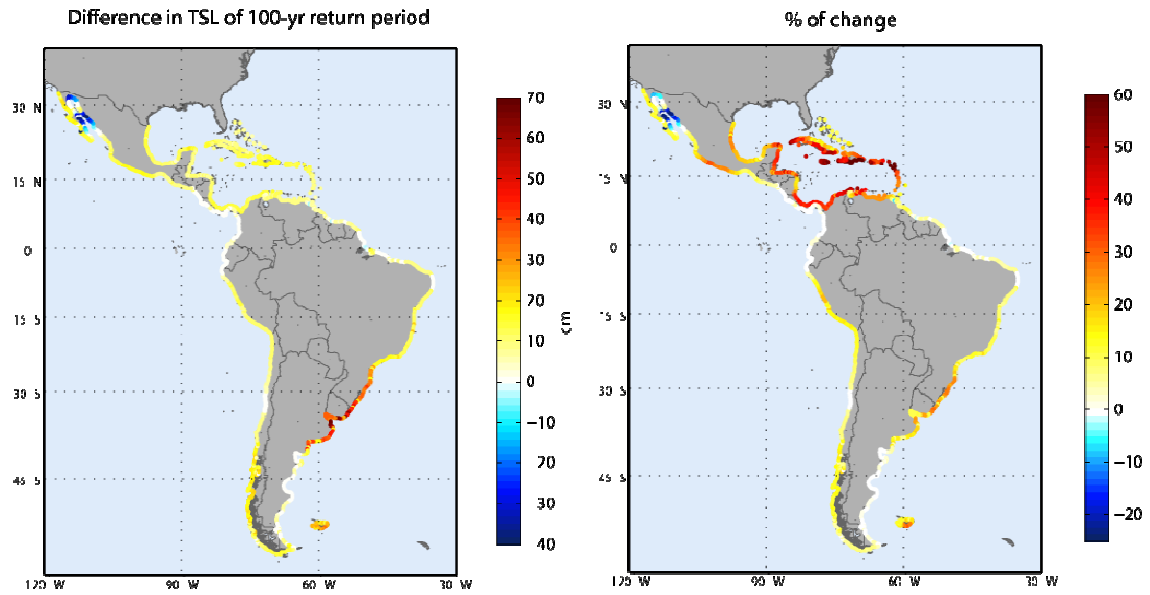
874 Figure 11. Long-term trend coefficients of the location (upper panel) and scale (lower  
 875 panel) parameters of the GEV probability density function of extreme levels of the Total  
 876 Mean Sea-Level and temporal evolution of several probability density functions at  
 877 certain points of study. Probability density functions are represented at 5 years lapses,  
 878 the red lines corresponding to the initial (1950) and end (2008) years.

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881 Figure 12. Series of Monthly Maxima of Total Sea-Level (MMTSL; lines in left  
 882 panels), location (central panels) and scale parameters (right panels) determined for two  
 883 domain points: 620 (top panels) and 295 (bottom panels). The x-axis represents a  
 884 temporal scale of years (years from 1950 to 2008 ranging from 1 to 59) when  
 885 corresponding to time series in which the parameters revealed a significant trend  
 886 (Id.295) and on an annual scale (representing the months ranging from 0 to 1 year)  
 887 when the trends were not significant (Id. 620). For the cases of the location and scale  
 888 parameters (central and right panels), the cruxes represent the monthly maxima data, the  
 889 dashed line the 90% percentile, the continuous lines the fitted model and the shaded  
 890 areas the 95% confidence intervals.



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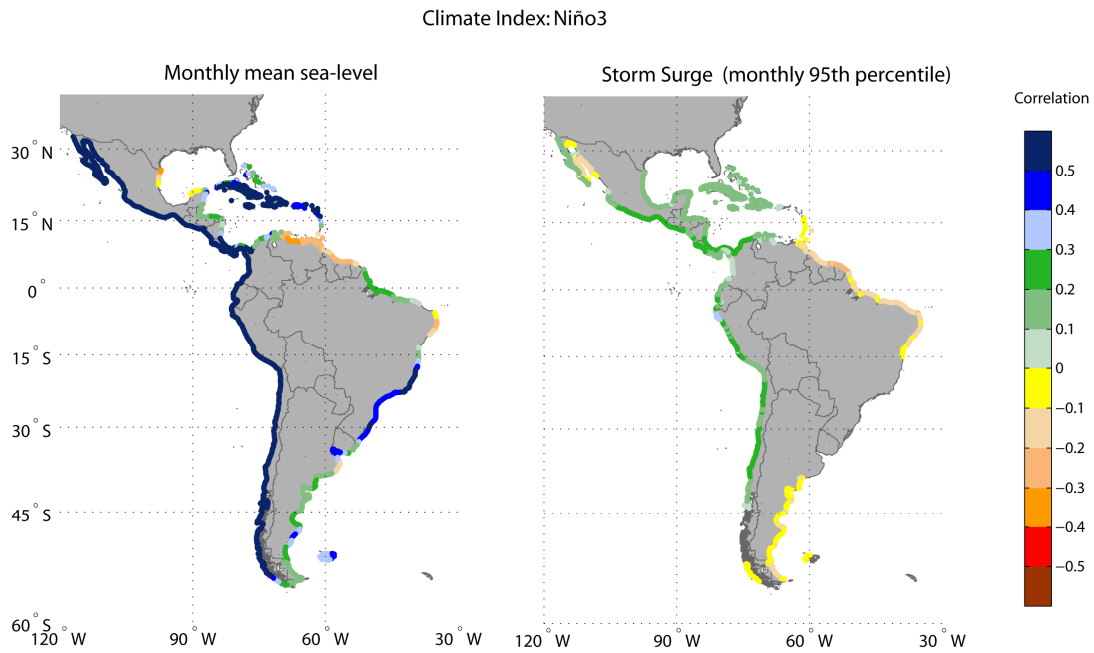
892 Figure 13. Differences between 100-year return period sea-level values during the first  
893 and last decade with available data (left panel). Percentage of change of such difference  
894 relative to the value associated with the 100-year return period obtained in the first  
895 decade of data (right panel).

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901 Figure 14. Influence of Niño3 index in the Mean monthly Sea-Level (left panel) and the

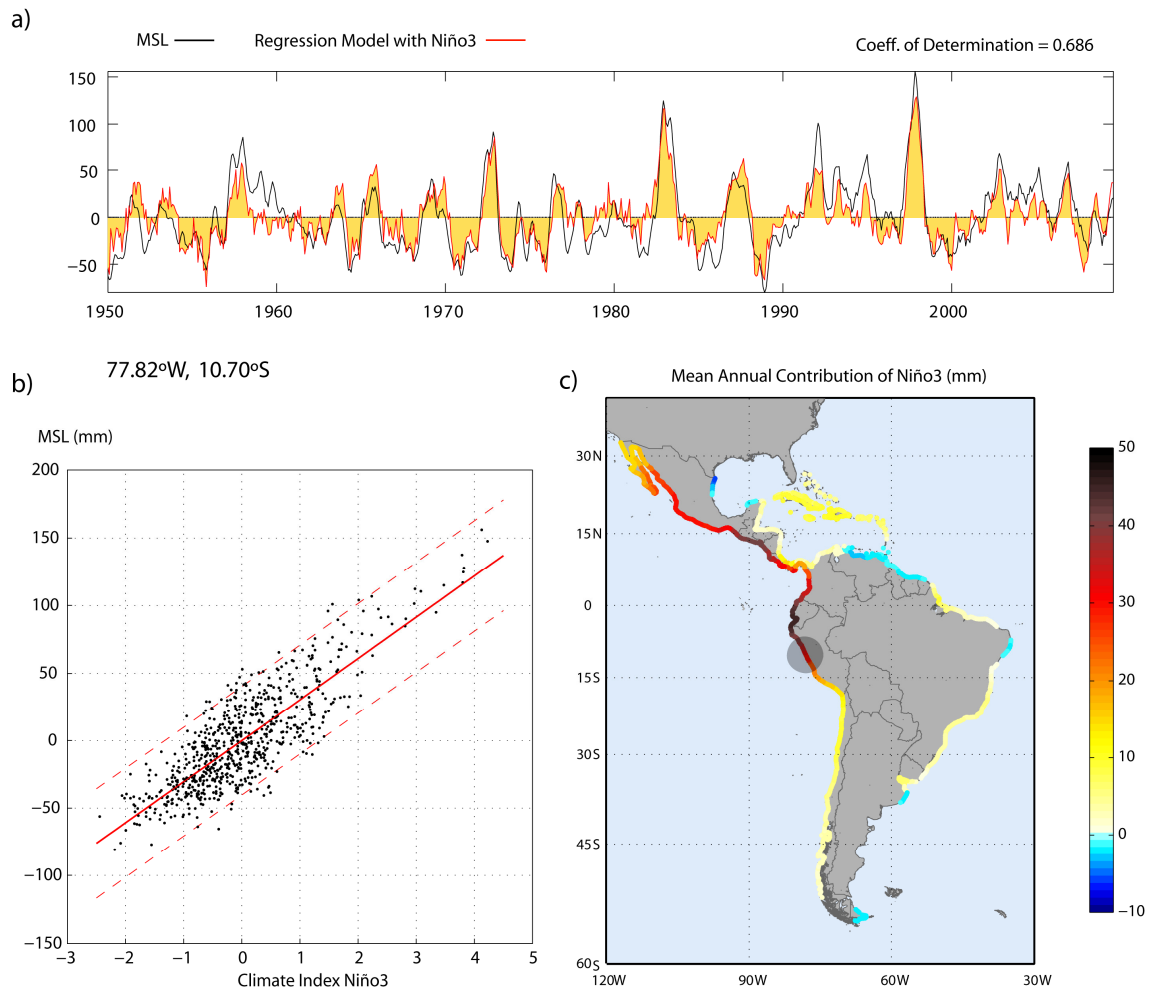
902 95<sup>th</sup> percentile of Storm Surge (right panel) in terms of the Pearson's correlation

903 coefficient.

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907 Figure 15. (a) Sea-level time series at a point on the Pacific coast (77.82°W, 10.70°S)  
 908 (black line), and time series reconstructed using the linear regression model with the  
 909 Niño3 index (red line); (b) dispersion graph and regression line between the Niño3  
 910 index and Mean Sea-Level (mm), 95% confidence bounds for a new observation are  
 911 represented by dashed red lines; (c) average annual contribution to Mean Sea-Level  
 912 (mm) per unit of Niño3 index (standardized index).

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